

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problems Mailbox.**

SEE BLANK (USPTO)

M 09/371,612.



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>6</sup> : A01N 57/20</p>	<p>A1</p>	<p>(11) International Publication Number: WO 97/36488 (43) International Publication Date: 9 October 1997 (09.10.97)</p>
<p>(21) International Application Number: PCT/EP97:01443 (22) International Filing Date: 21 March 1997 (21.03.97) (30) Priority Data: 96870036.9 29 March 1996 (29.03.96) EP (34) Countries for which the regional or international application was filed: BE et al. 96870094.8 16 July 1996 (16.07.96) EP (34) Countries for which the regional or international application was filed: BE et al. (71) Applicant (for all designated States except US): MONSANTO EUROPE S.A. [BE/BE]; Avenue de Tervuren 270-272, B-1150 Brussels (BE). (72) Inventors; and (75) Inventors/Applicants (for US only): BRANTS, Ivo [BE/BE]; Grotestraat 132, B-3818 Nieuwerkerken (BE). GRAHAM, William [GB/BE]; Hoekstraat 47, B-3078 Kortenberg (BE). (74) Agent: BOSCH, Henry, A.; Monsanto Services International S.A., Avenue de Tervuren 270-272, B-1150 Brussels (BE).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p>
<p>(54) Title: NEW USE OF N-(PHOSPHONOMETHYL)GLYCINE AND DERIVATIVES THEREOF</p>		
<p>(57) Abstract  The present invention concerns a new use of glyphosate or derivatives thereof for the increase of yield and/or quality of crop plants that are tolerant to glyphosate selected from sugar beet, fodder beet, corn, oilseed rape and cotton.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

NEW USE OF N-(PHOSPHONOMETHYL)GLYCINE  
AND DERIVATIVES THEREOF

The present invention relates to a new use of glyphosate, or N-(phosphonomethyl)glycine, and derivatives thereof, such as salts and esters, as an agent for increasing the yield of crop plants that are tolerant to glyphosate.

Glyphosate is well known as an effective systemic, foliage active (post-emergent) non-selective herbicide. Glyphosate is known to act on various enzyme systems, thus interfering with the formation of amino acids and other endogenous chemicals in treated plants. Due to the relatively low water-solubility of the acid form, glyphosate is mostly sold in a salt form, like the mono-isopropylammonium salt, the ammonium salt, the sodium salt or others.

Well known formulated products comprise the active ingredient and a surfactant or a surfactant mixture and possibly other additives, like antifoam agents, antifreeze agents, dyes and other agents known in the art. Reference is also made to the book "The Herbicide Glyphosate", edited by E. Grossbard and D. Atkinson, Butterworth & Co, 1985.

US-3 853 530 describes the use of N-phosphonomethylglycine and derivatives thereof to alter the natural growth or development of plants, like for defoliation and retardation of vegetative growth. In certain plants this retardation is said to lead to a shorter main stem and increased lateral branching. This alteration of the natural growth or development would produce smaller, bushier plants which often demonstrate increased resistance to drought and pest infestation. In the case of turf grasses, retardation of vegetative growth may also be highly desirable, thus enhancing root development to provide a dense, sturdier turf, and increasing the interval between mowings of lawns, golf courses and similar grassy areas. In many types of plants,

-2-

such as silage crops, potatoes, sugar cane, beets, grapes, melons and fruit trees, the retardation of vegetative growth caused by glyphosate is said to result in an increase in the carbohydrate content of the plants at harvest. Obviously, these applications require sub-lethal doses, since otherwise the treated plants would be killed.

US-3 988 142 more particularly relates to the use of N-phosphonomethylglycine and derivatives thereof to increase the carbohydrate deposition in plants, such as sugar cane. Again, the rates used are sub-lethal rates and are applied shortly before harvest.

In both instances mentioned above, it is believed that a non-lethal dose of glyphosate herbicide, i.e. a dose far below the doses normally used to combat the weed population in a crop field, causes a reduction or retardation of vegetative growth and the active material follows the normal pathway it follows when exerting its herbicidal action in the plants. In the case of US-3 988 142, the retardation of vegetative growth is believed to permit more of the available carbohydrate in the plant to be converted to starch or sucrose, rather than being used as plant food for continued growth.

DE-3 200 486 relates to improving the productivity of crop plants by treating them with sub-lethal doses of phosphinothricin (glufosinate) which is also an efficient non-selective herbicide. Here as well, the inhibition of vegetative growth by sub-lethal doses of the herbicide is believed to cause an increase of carbohydrates in the plants or fruits thereof. DE-3 200 486 mentions glyphosate in a comparative example (Example II) which is intended to show that glufosinate has a better effect than glyphosate at the same rate.

EP-0 401 407 concerns a similar subject matter. It discloses

the use of sub-lethal rates of non-selective herbicides, such as inter alia glyphosate and phosphinothricin, during the transition from the mass forming stage to the maturation stage of a plant, in order to increase the carbohydrate deposition in sugar or starch producing plants other than  
5 cane, such as sugar beets, potatoes or corn.

WO 95/05082 describes a method of increasing the yield of crops which are resistant to glutamine synthetase inhibitors, such as phosphinotricin, by treating the said crops with  
10 rates of that herbicide as used to combat the weeds in a crop field. This document further states that herbicides with a different mode of action don't have this effect or often show a negative effect on the crop yield.

Recent developments in gene technology have made it possible  
15 to genetically transform plants, more particularly crop plants, in order to render them tolerant to glyphosate or derivatives thereof. For instance EP-0 218 571 relates to a cloning or expression vector comprising a gene which encodes EPSPS polypeptide which when expressed in a plant  
20 cell confers glyphosate tolerance to plants regenerated from such cell. EP-0 293 358 further relates to the enhancement of the effectiveness of glyphosate-tolerant plants by producing mutant EPSP synthase enzymes which exhibit a lower affinity for glyphosate while maintaining catalytic activity.  
25 WO 92/00377 discloses genes encoding a glyphosate oxidoreductase enzyme. The genes are useful in producing transformed plants which degrade glyphosate herbicide and are tolerant to glyphosate herbicide. WO 92/04449 discloses genes encoding class II EPSPS enzymes, which are useful in  
30 producing transformed plants that are tolerant to glyphosate herbicide. Such crops can be maintained essentially weed free by application of glyphosate herbicide after crop emergence. Chemical Abstracts, vol. 124, N. 8, 1996, refers to an article in "Weeds" (1995) by B.H. Wells, entitled  
35 "Development of Glyphosate Tolerant Crops into the Market".

-4-

The author confirms that two approaches have been used to confer tolerance to commercial levels of glyphosate in several crops.

5 An article entitled Roundup Ready™ Sugar Beet by I. Brants et al., published early 1996 in the "Proceedings Of The International Symposium On Weed and Crop Resistance to Herbicides", a Symposium having taken place April 3-6, 1995, shows that commercial Roundup® tolerance levels have been obtained in genetically modified sugar beet plants.

10 It has now been unexpectedly found that when treating crop land bearing a crop, such as beet crops, oilseed rape, or corn, that has been made tolerant to glyphosate herbicide, even with normally lethal rates of glyphosate herbicide or rates normally used to combat weeds, the crop yield is  
15 increased. This unexpected effect could not be expected from the above prior art, since in non-tolerant crops, the crop would be killed, and since phosphinothricin herbicides have a mode of action completely different from glyphosate herbicide.

20 Chemical Abstracts, vol 123, n° 21, 1995 publishes an abstract (Abstract N. 281158c) of an article by X. Delannay and others, which deals with the yield evaluation of a glyphosate-tolerant soybean line after treatment with glyphosate. The authors of the article conclude that the  
25 trends in the data generally suggested that no real differences existed, and that comparisons with standard herbicide checks reinforce the conclusion of overall yield safety of the glyphosate treatments, variability being understood to be due to unfavorable weather and/or soil  
30 conditions.

- In Weeds (1995) mentioned earlier, B.H. Wells also notes that no significant yield reductions were seen after single or sequential broadcast applications of glyphosate at various



-5-

crop stages. Lead lines of glyphosate tolerant cotton are said to have shown no yield reduction either after glyphosate applications. These evaluations were effected to confirm the performance of the genetic modification of the relevant crop plants as far as their tolerance to the relevant herbicide is concerned. No data is contained in this article and no disclosure or suggestion can be found for the possible yield increase of the crop after glyphosate application.

Although the earlier mentioned article by I. Brants et al. shows average root weight (%) data for three different lines of glyphosate tolerant sugar beet, characterised by three different transformation events, for several glyphosate treatments compared to a standard, the skilled person cannot derive beet yield increases from the data shown. The data have rightly been presented as tolerance testing data. The data shown is not indicative of any yield increase in sugar beets because tolerance evaluation is not effected, in weedfree conditions and, hence, allows for weed competition in the standard plots. Further, as will be recognised by the skilled person, the data shown are very early data generated in small plots, with no replicates, at one location because of seed availability. Also, such early seeds still contained segregating seed material which resulted in irregular crop stands compared to the standard; as a consequence, the average root weight is computed per plant (not per unit area) and is compared to a standard grown under different conditions. The only conclusion a skilled person can draw from the above article is that three sugar beet lines have been found to show a level of tolerance that has potential for commercial development.

According to the invention, tests have shown yield increases (expressed per unit surface area) of glyphosate tolerant crops, such as beet crops, oilseed rape, corn or cotton, treated with glyphosate herbicide of up to about 50% when compared to the same crop that has not been treated with

-6-

glyphosate herbicide. The yield increase is not believed to be due simply to less competition between weeds and crops as a consequence of the glyphosate herbicide applications, because the effect has been noticed on crops allowed to grow  
5 under essentially weed-free conditions. Also no growth regulation effect as understood in the prior art of record has been noticed : No temporary retardation of growth has been noticed or any other temporary alteration of the natural growth or development of the crop plant.

- 10 The present invention is therefore concerned with the use of glyphosate or derivatives thereof for the yield increase of glyphosate tolerant crops.

Preferably, glyphosate is applied at the usual lethal doses for controlling weed population in order to simultaneously  
15 kill the weeds. Glyphosate herbicide can be applied once or in several successive treatments. The applied rates are generally comprised in the range of between 0,2 and 6.0 kg acid equivalent/ha, depending on the climatic conditions, the season, the weed infestation, stage of the weed plants, and  
20 depending on the crop and other parameters known by the person skilled in the art.

Glyphosate herbicide may be applied in its acid form or as a derivative thereof, preferably a salt, such as the mono isopropylammonium salt, the sodium salt, or ammonium salt or  
25 mixtures thereof. Other salts of glyphosate wherein the cation is not in itself herbicidally active or phytotoxic may also be used.

The yield increasing effect of glyphosate herbicide treatment has been noticed on glyphosate tolerant crops selected from  
30 beets such as sugar beet or fodder beet, corn, oil seed rape and cotton, independently of the technique used for causing glyphosate tolerance.

-7-

The effect is particularly marked on glyphosate tolerant sugar beets and fodder beets.

Glyphosate herbicide can for instance be applied in its acid form or in the form of derivatives thereof, as a water-soluble or dispersible granule, as a water-soluble concentrate diluted in the spray water, or in the form of other formulation types, such as emulsions, encapsulated active ingredient and others.

Glyphosate herbicide may be applied in one application or sequential applications, at different plant growth stages. The effect of the glyphosate herbicide treatment on glyphosate tolerant crops has shown to be the most significant when treatment is applied in the growth stage of the relevant plants.

Such formulation adjuvants may be found in "McCutcheon's Emulsifiers and Detergents", and may advantageously be selected from

- amines, such as ethoxylated alkyl amines, particularly tallow amines, cocoamines, surfactants sold under the tradename Ethomeen, amine oxides, such as surfactants sold under the tradename Empigen OB;
- quaternary ammonium salts, such as ethoxylated and/or propoxylated quaternary ammonium salts, more particularly surfactants sold under the tradenames Ethoquad, Emcol CC and Dodigen;
- alkylpolyglycoside, alkylglycoside, glucose- and sucrose- esters.

Most preferred are quaternary ammonium salts, such as defined in EP-0 441 764, possibly in admixture with a wetting agent, most preferably alkoxylated sorbitan ester. This type of surfactant or surfactant mix shows no significant phytotoxic effect on the crop plants and is preferred for its environmentally friendly characteristics.

Quaternary ammonium salts of particular interest are trimethyl ethoxypolyoxypropyl ammonium chlorides.

### Example 1

Sugar beet plants genetically modified according to the  
5 technology disclosed in EP-0 218 571 to render them tolerant  
to glyphosate were planted according to good agronomical  
practices at 4.5 cm interplant distance within a row and  
thinned manually to ensure normal crop stand, according to  
a randomized block design; plot size : 2.7 x 6 m; 6 rows per  
10 plot with an inter-row distance of 0.45 m. Four replications  
were used for each test.

The test plots were kept essentially weed free : by pre-  
emergent herbicide applications, if so required and  
specified, by post-emergent glyphosate treatments applied as  
15 specified below or standard officially accepted beet  
treatments (for comparison purposes).

The following treatments were applied :

- N.1 Standard sugar beet herbicide
- N.2 Standard sugar beet herbicide at double rate
- 20 N.3 3 x 720 g a.e/ha of formulated glyphosate
- N.4 3 x 1080 g a.e/ha of formulated glyphosate
- N.5 3 x 1440 g a.e/ha of formulated glyphosate
- N.6 2 x 2160 g a.e/ha of formulated glyphosate.

If three successive applications of glyphosate herbicide are  
25 effected, the first one is carried out at the 2 - 4 leaf  
stage of the crop plants; the second one is carried out at  
the 6 - 8 leaf stage of the crop plants; and the third one  
- is carried out at the 10 - 12 leaf stage of the crop plants,  
but before canopy closure.

-9-

If two successive applications are carried out than the first one is carried out at the 2 - 4 leaf stage and the second one is carried out at the 10 - 12 leaf stage of the crop plants.

The formulation of glyphosate herbicide comprised 360 g glyphosate a.e./l as the isopropylammonium salt, and 180 g/l surfactant composed of trimethyl ethoxypolyoxypropyl(8)ammonium chloride and ethoxylated (20) sorbitan ester (80:20). The glyphosate formulation was applied at a water volume of 200 l/ha at a 2 bar pressure.

- 10 The fresh root weight was measured at harvest. The fresh root weight after Standard treatment N.1 was considered as 100% yield and the measured fresh root weights were related to the result of Standard treatment N.1.

Table I.a

15	Trt	% yield (fresh root weight per hectare)
	1 *	100
	2 *	96
	3	108
	4	108
20	5	110
	6	113

\* The standard treatment comprised three applications :

25	Herbasan	1.0 L/ha	1.0 L/ha	1.0 L/ha
	Ethosan	0.1 L/ha	0.2 L/ha	0.2 L/ha
	Goltix	1.0 Kg/ha	1.0 Kg/ha	1.0 Kg/ha
	Renol S	0.3 L/ha	0.3 L/ha	0.3 L/ha

Table I.b

<u>Trt</u>	<u>% Yield (fresh root weight per hectare)</u>
1 *	100
2 *	102
5 3	110
4	113
5	117
6	113

\* The standard treatment comprised three applications :

10	Goltix	1.7 Kg/ha	0.75 Kg/ha	1.0 Kg/ha
	Actipron	1.7 L/ha		
	Betanal E		2.0 L/ha	
	Venzar		0.4 L/ha	
	Goltix			
15	Betanal Prog.			2.0 L/ha

Table I.c

<u>Trt</u>	<u>% Yield (fresh root weight per hectare)</u>
1 *	100
2 *	--
20 3	129
4	156
5	136
6	132

\* Standard treatment comprised a pre-emergent application and two post-emergent applications of officially accepted sugar beet herbicides, as follows :

-11-

Goltix	3 Kg/ha	0.5 Kg/ha	0.5 Kg/ha
Pyramin DF	2 Kg/ha		
Betanal P		1.0 Kg/ha	1.0 L/ha
Stratos			1.0 L/ha

5 Example 2

The same tests were repeated with a glyphosate tolerant fodder beet (genetically transformed according to the same technology).

Table II.a

10	<u>Trt</u>	<u>% Yield (fresh root weight per hectare)</u>
	1 *	100
	2 *	101
	3	108
	4	107
15	5	110
	6	111

The Standard (\*) of this test comprised three applications :

20	Herbasan	1.0 L/ha	1.0 L/ha	1.0 L/ha
	Ethosan	0.1 L/ha	0.2 L/ha	0.2 L/ha
	Goltix	1.0 Kg/ha	1.0 L/ha	1.0 Kg/ha
	Renol S	0.3 L/ha	0.3 L/ha	0.3 L/ha

-12-

The same test was repeated with the same line as above, except that a pre-emergent herbicide treatment has been applied over all plots, which consisted of 1 kg/ha of Goltix (tradename) and 3 l/ha of Betanal E (tradename).

5 Table II.b

Trt	% Yield (fresh root weight per hectare)
1 *	100
2 *	100
3	108
10 4	110
5	113
6	110

\* The standard of this test comprised one application :

15	Goltix	1 Kg/ha
	Betanal E	3 L/ha

Example 3

For this experiment, essentially the same protocol was followed, as in Examples 1 and 2.

Glyphosate tolerant sugar beet plants were planted early May  
 20 1995 according to good agricultural practice. The plots were kept essentially weed free by manual cleaning (untreated) or by applications of glyphosate herbicide, as appropriate. Glyphosate was applied as a formulation of the isopropylammonium salt of glyphosate comprising 360 g/l acid  
 . 25 equivalent and 180 g/l of surfactant (trimethylethoxypolyoxypropyl (8) ammonium chloride (80) with



-13-

ethoxylated (20) sorbitan ester (20)).

T1 = 2 - 4 leaf stage (approximately 30 DAP - Days After Planting)

T2 = 8 - 10 leaf stage (approximately 50 DAP)

5 T3 = 14 - 18 leaf stage (approximately 65 DAP)

The Table IV below shows the measured average fresh weight (in g) of the roots per plant, 180 DAP, for several rates of glyphosate formulation as specified above.

Table III

10	T1	T2	T3	180 DAP g
	Untreated	Untreated	Untreated	1420
	2	2	2 l	1717
	3	0	3 l	1538
	6	0	6 l	1885
15	4	0	4 l	1904

This experiment clearly shows the increase in fresh root weight at harvest, after several glyphosate applications. There also is a trend of increased yield as a function of the rates of glyphosate applied.

20 The increased yield is also translated into a corresponding increased dry weight of the plant at harvest.

**Exempl 4**

The purpose of this experiment is to compare transgenic sugar beets that have been sprayed with a formulation of glyphosate herbicide, and transgenic sugar beets that have not been  
5 treated with such a herbicidal formulation, from the point of view of beet quality (content of sugar, invert sugar, potassium, sodium, amino-nitrogen of the roots; nutrients of beet roots and top samples, such as % dry matter, crude fibre and toxins content).

10 Sugar beets are mainly used in the sugar industry for production of white sugar, pulp and molasses. The technological value of the beet for this purpose is commonly evaluated by analysing their content of sugar, potassium, sodium and amino-nitrogen. Concerning toxicants in beets,  
15 the saponins are monitored.

**Preparation of samples**

After harvest, the roots were kept between 0°C and 10°C, and the top samples were frozen at less than -20°C.

The preparation of beet into brei is done by a semi-automatic  
20 treatment, where the beet is sliced in a beet saw to produce brei. After the brei was homogenized, 1 subsample was used after extraction to analyze for polarization, invert sugar, Na, K and Amino-N, and another subsample was dried and used for nutrients. A third part of the same brei sample was  
25 frozen for toxicants. The extraction of beet brei was done with demineralized water to which was added a tablet of aluminum sulfate for clarifying, and transferred to the automatic Venema digestion and filtration plant. Preparation of large beet top was done by dividing them horizontal into  
30 equal sub-samples.

Analytical methods:

**Dry Matter - Oven method** (EF 71/393/EØF; L279/7 p. 858-61 20/12-71) :

Root:

- 5 After the root is processed into brei, the brei sample is placed in oven at 95°C and dried for 24 hours (to constant weight).

Top:

- 10 The sample is placed in oven at 95°C and dried for up to 72 hours, depending on the size of the sample, to constant weight.

For both root and top the loss in weight is quantified and calculated as percent dry matter.

- 15 **Crude Fibre - Weende method** (EF L 344/36-37 26/11-92 modified):

- The sample is treated successively with boiling solutions of sulfuric acid and potassium hydroxide of specified concentrations. The residue is separated by filtration on Gosch crucible with glass wool, washed, dried, weighed and  
20 incinerated in a muffle oven at 550°C in 3 hours. The loss of weight from incinerating is quantified gravimetrically and calculated as percent Crude Fibre of the sample.

**Toxins : Saponins - HPLC method** (Hilmer Sørensen, KVL 1991, modified by DC):

- 25 The method is based on an acid hydrolysis of beet saponins. The liberated oleanolic acid is extracted with dichlormethane. After evaporation of water from the sample the remanence is dissolved in methanol. The oleanolic acid is estimated by HPLC at reverse phase with acetonitrile/water  
30 as eluent and determined at 210 nm on UV-detector.

**Sugar content of beet extract - Polarization (Pol)** (ICUMSA, Sugar Analysis 1979, Proc. 1990)

The beet extract, clarified with aluminum sulfate, is determined on a polarimeter type PROPOL, which is based on

-16-

determination of a ratio of optical rotation. The optical rotation is measured at 546 nm in a 70 mm long tube and converted to °Z (Pol %) or g/100 g root.

**Amino-Nitrogen of beet extract - SMAIIC Analyzer (ICUMSA, Sugar Analysis 1979 modified):**

The beet extract, clarified with aluminum sulfate, is determined on colorimeter at 570 nm, after a color reaction with ninhydrin.

**Potassium and Sodium of beet extract - SMAIIC Analyzer (Technicon, Tech. Publ. THO-0160-10):**

The beet extract, clarified with aluminum sulfate, is determined on Flame Photometer IV, where the intensity of light energies emitted by potassium and sodium in the flame is measured at respectively 589 nm and 768 nm. The sample is diluted with lithium sulfate, where lithium is used as internal standard to balance the signal from the Flame Photometer.

**Invert sugar of beet extract - SMAIIC Analyzer (Technicon, Tech. Publ. THO-0160-10):**

The beet extract, clarified with aluminum sulfate, is determined on colorimeter at 560 nm, after reaction with copper sulfate neocuproin hydrochlorid reagent.

Glyphosate tolerant sugar beet plants, genetically transformed according to the technology disclosed in EP-0 218 571 for tolerance to glyphosate herbicide, were grown at 6 different locations (Italy, Spain, Belgium, Denmark, France, UK).

The type of varieties grown are depending on local requirements. The material varies in many characters, one important character is the sugar content estimated by polarization (Pol). The sugar beet can be divided into subgroups : E-type, N-type and Z-type. E-type is low in Pol,

-17-

Z-type is high in Pol and N-type in between E and Z. Varieties grown in Northern Europe can be characterized as being E-N, N or N-Z types. The material used in this Example falls into the N group.

- 5 The sugar beets were planted according to local good agronomical practices, manually thinned to ensure normal crop stand. At least one replicate per test was used.

The plots were kept essentially weed free by application of:

- glyphosate herbicide on the test plots
- 10 - standard beet selective herbicide treatments (according to locations) on the control plots; except in Denmark, Italy, where no other herbicide than glyphosate was considered necessary to maintain weed free conditions.

The formulation of glyphosate herbicide is the same as the  
15 one used in Example 1. The herbicidal glyphosate formulation was applied as follows :

- preemergent 2.5 l/ha
- 2-4 true leaf stage of beets 2 l/ha
- 6-8 true leaf stage of beets 2 l/ha
- 20 - 12-14 true leaf stage (canopy closure) 2 l/ha

The selective herbicide rates applied are as follows :

- Spain:
  - 3.55 kg/ha Goltix WG (metamitron) preemergent.
- Belgium:
  - 25 2 l/ha Gramoxone
  - (paraquat 200) preplanting
  - 3 l/ha Pyramin FL
  - (chloridazone 430) 1 week after planting
  - 0.5 l/ha Betanal

-18-

	(Phenmediphame 150)	3 weeks after planting
	0.5 l/ha Goltix	
	(metamitron 70% WP)	3 weeks after planting
	0.5 l/ha Trammat	
5	(Ethofumesate 200)	3 weeks after planting
	0.75 l/ha Goltix	5 weeks after planting
	0.75 l/ha Vegelix	
	(Mineral oil 40)	5 weeks after planting
	0.75 l/ha Trammat	5 weeks after planting
10	0.75 l/ha Goltix	6 weeks after planting
	0.75 l/ha Vegelix	6 weeks after planting
	0.17 l/ha Fusilade	6 weeks after planting
	0.75 l/ha Betanal	6 weeks after planting
	0.75 l/ha Trammat	9 weeks after planting
15	0.75 l/ha Betanal	9 weeks after planting
	0.75 l/ha Goltix	9 weeks after planting
	0.75 l/ha Vegelix	9 weeks after planting

## - France :

	0.75 l/ha Goltix WP	
20	(metamitron)	1 day after planting
	0.75 l/ha Goltix WP	2 weeks after planting
	0.75 l/ha Betanal	
	(Phenmediphame 150)	2 weeks after planting
	0.75 l/ha Trammat	
25	(Ethofumesate 200)	2 weeks after planting.

## - U.K.:

1.0 l/ha Laser (cycloxydim) 5 weeks after planting.

The analytical results were collected and the averages are reproduced in the table below, all countries having an equivalent weight in the computation of the averages.

Plant part	Analysed unit	Trt/Unt	Sugar beet
brei	dry matter (DM)	Trt	21.309
	g/100g root	Unt	20.444
root	Invert sugar	Trt	1.011
	mmol/100g root	Unt	1.755
	potassium	Trt	5.162
	mmol/100g root	Unt	5.286
	NH <sub>2</sub> N	Trt	2.470
	mmol/100g root	Unt	2.878
	sodium	Trt	1.118
	mmol/100g root	Unt	1.769
	Pol	Trt	15.610
	g/100g root	Unt	14.478
5 top	dry matter	Trt	14.724
	g/100g top	Unt	13.996

Trt : sprayed with glyphosate herbicide

Unt : not sprayed with glyphosate herbicide

DM : dry matter.

The data show that sugar beets treated with glyphosate herbicide present a significantly higher sugar content with reduced sodium, potassium, amino-nitrogen and invert sugar in the root. The dry matter of root and top is also increased. More detailed data suggest that the leaves of glyphosate treated sugar beets show a higher fiber content.

15 Correlating the results of this Example with the results of

-20-

the previous Examples, it appears that the yield increase evaluated earlier in weight per hectare also reflects as an increase of dry matter, fiber, and sugar content in the harvested material.

## 5 Example 5

Sugar beet and fodder beet plants genetically modified according to the technology disclosed in EP-0 218 571 to render them tolerant to glyphosate were planted at different locations according to good agronomical practices at 4.5 cm  
10 interplant distance within a row and thinned manually to ensure normal crop stand, according to a randomized block design; plot size : 2.7 x 7 m; 6 rows per plot with an inter-row distance of 0.45 m. Four replications were used for each test.

15 The test plots were kept essentially weed free : by standard pre-emergent herbicide applications over the whole area, and than by handweeding and, as appropriate by post-emergent glyphosate treatments applied as specified below.

The following treatments were applied :

20 Hand weeding only

3 x 720 g a.e/ha of formulated glyphosate

3 x 1080 g a.e/ha of formulated glyphosate

3 x 1440 g a.e/ha of formulated glyphosate

2 x 2160 g a.e/ha of formulated glyphosate.

25 If three successive applications of glyphosate herbicide are effected, the first one is carried out at the 2 - 4 leaf stage of the crop plants; the second one is carried out at the 6 - 8 leaf stage of the crop plants; and the third one  
- is carried out at the 10 - 12 leaf stage of the crop plants,  
30 but before canopy closure.



-21-

If two successive applications are carried out than the first one is performed at the 2 - 4 leaf stage and the second one at the 10 - 12 leaf stage of the crop plants.

The formulation of glyphosate herbicide comprised 360 g glyphosate a.e./l as the isopropylammonium salt, and 180 g/l surfactant composed of trimethyl ethoxypolyoxypropyl(8)ammonium chloride and ethoxylated (20) sorbitan ester (80:20). The glyphosate formulation was applied at a water volume of 200 l/ha at a 2 bar pressure.

10 The fresh root weight was measured at harvest. The fresh root weight of the solely handweeded plots was considered as 100% yield and the measured fresh root weights after glyphosate treatments were related to the result of the handweeded plots.

#### 15 Table V

% yield (fresh root weight)

	<u>Trt</u>	<u>Sugar beet</u>	<u>fodder beet</u>
	handweeded	100%	100%
	3 x 720 g a.e.	101	105
20	3 x 1080 g a.e.	98	106
	3 x 1140 g a.e.	115	108
	2 x 2160 g a.e.	107	102

#### Example 6

25 For this field trial, winter oilseed rape plants with existing genetic background, genetically modified for exhibiting glyphosate herbicide tolerance (according to the combined technique of EPSPS expression and glyphosate

-22-

oxidoreductase expression), were planted mid September 1995, according to good agronomical practices at 4 kg seeds/ha corresponding to approximately 100 plants per m<sup>2</sup> (normal crop stand), according to a randomized block design; plot size:  
5 3 x 7 m, 0,14 m interrow distance; 4 replications per test.

The plots were kept essentially weedfree : by a standard preemergent herbicide application over the whole area, i.e. 1,5 l Butisan (metazachlor) one day after drilling and 1 l in addition over the standard plots; and by glyphosate  
10 treatments applied as specified below :

The glyphosate treatments were applied in the autumn at a plant growth stage of B4 - B5; the same formulation as the one used in Example 1 was applied.

Harvest occurred early August and yield was evaluated and  
15 expressed in ton/ha grains (at 9% humidity). The Table VI shows the measured yields in % of the yield of the standard plot.

Table VI : Yield

	Standard	100
20	1080 g a.e./ha	104.5

Example 7

This field test was performed similarly to the test of the previous Example, except that in this Example spring oilseed rape plants were sown (mid April 1996). Such plants having  
25 known genetic background were rendered tolerant to glyphosate herbicide by the combined technique as mentioned in Example 6.

The plots were kept weed free by application of 1,5 l/ha Butisan over the whole area, and handweeding for the standard

-23-

plot, and glyphosate herbicide for the remaining plots. The same glyphosate formulation was applied approximately 1 month after planting, i.e. plant growth stage B3 - B4. Harvest occurred mid August.

- 5 Table VII below shows the yield data (measured and expressed as in Example 6) in % yield of the standard plots.

Table VII : Yield

	Standard	100
	720 g a.e./ha	120
10	1080 g a.e./ha	112

Example 8

- Further field trials were carried out in Italy to evaluate the yield enhancement of glyphosate tolerant corn crops after glyphosate herbicide application(s). Known corn plants
- 15 genetically modified for glyphosate tolerance according to the combined techniques of EPSPS and of glyphosate oxidoreductase expression were planted and grown according to good agronomical practice at approx. 62,000 plants/ha (approx. 4.7 seeds/m) in plots of 3 x 9 m, with four 9 m rows
- 20 of plants each, in a complete randomized block design with four replicates, and then manually thinned to ensure normal crop stand and same number of plants in all plots. The whole area comprising standard plots and test plots was treated by a preemergent herbicide (Lasso Micromix) at a rate of 6 l/ha
- 25 (i.e. 2016 g alachlor and 864 g terbutylazine). Standard plots were kept weedfree by handweeding, if required, and the test plots by treatment with the same glyphosate formulation as in Example 1, at several rates and plant growth stages.
- Only both the central rows were harvested on a median length
- 30 of 7 m. The yield was measured in ton grains per hectare expressed at 15% humidity, and is expressed in the Tables as

-24-

% yield of standard (STD).

Table XIII a

% Yield/glyphosate treatment at 3-4 leave stage

	Transformation event 1	Transformation event 2
STD	100	100
720 g a.e./ha glyphosate	106	103
1800 g a.e./ha	107	107

Table XIII b

Yield/glyphosate treatment at 5-6 leave stage

	Transformation event 1	Transformation event 2
STD	100	100
720 g a.e./ha glyphosate	114	105
1080 g a.e./ha	109	108
1440 g a.e./ha	109	105
1800 g a.e./ha	111	102

Example 9

- 15 A further field test was carried out according to the same protocol as in Example 1, in order to evaluate the effect of different formulations of glyphosate herbicide on the yield enhancement. The transgenic crop plants received three

-25-

glyphosate herbicide treatments of 720 g a.e./ha and of 1080 g a.e./ha, respectively.

Table IX% yield - fresh root weight

5		3 x 720 g a.e.	3 x 1080 g a.e.
	Roundup®	100	100
	Granular formulation	101.4	100.2
	Liquid formulation	104.2	102

## Roundup®:

- 10        - glyphosate isopropylammonium salt at 360 g a.e./l  
         - ethoxylated tallow amine surfactant at 180 g/l

## Solid formulation:

- glyphosate sodium at 430 g a.e./kg  
         - trimethyl ethoxypolyoxypropyl (8) ammonium chloride  
15        at 160 g/kg  
         - ammonium sulfate at 330 g/kg

Liquid formulation: the same as used in Example 1.

20        This Example shows a tendency towards improved performance  
         of the formulation comprising environmentally friendly  
         surfactant as defined.

Example 10

         A similar procedure as the one described in Example 7 (spring  
         oilseed rape) was followed in this Example in order to  
         evaluate the effect of different formulations of glyphosate  
25        herbicide. The test plots in this trial were of 1.5 x 10 m.

Table X : Yield in ton/ha grains at 9% humidity

	% YIELD			
l/ha	1	2	3	4
Roundup®	100	100	100	100
Granular form.	101	103	109	--
Liquid form.	103	101	110	108

The yield obtained after Roundup® treatments have been considered as 100%.

This Example further confirms the superiority of the formulation used in Example 7.

CLAIMS

1. Use of glyphosate or a derivative thereof for the yield increase of glyphosate tolerant crops selected from sugar beet, fodder beet, corn, oilseed rape and cotton.
- 5        2. Use of glyphosate or a derivative thereof, according to Claim 1 characterized in that it is applied at a usually lethal dose between 0,2 and 6,0 kg a.e./ha.
- 10       3. Use of glyphosate or a derivative thereof, according to Claim 1 or 2 characterized in that glyphosate or a derivative thereof is applied in a single treatment or in successive treatments.
- 15       4. Use of a glyphosate derivative according to any of Claims 1-3, characterized in that it is a glyphosate salt, preferably, the mono-isopropylammonium salt, the ammonium salt or sodium salt, or mixtures thereof.
- 20       5. Use of glyphosate or a derivative thereof according to any of Claims 1-4 characterized in that it is used in a formulation with an adjuvant selected from
  - amines, such as ethoxylated alkyl amines, particularly tallow amines, cocoamines, surfactants sold under the tradename Ethomeen, amine oxides, such as surfactants sold under the tradename Empigen OB;
  - quaternary ammonium salts, such as ethoxylated and/or propoxylated quaternary ammonium salts, more particularly surfactants sold under the tradenames Ethoquad, Emcol CC and Dodigen;
  - alkylpolyglycoside or alkylglycoside, glucose- and sucrose-esters,
- 25       preferably with an ethoxylated polypropoxylated quaternary

ammonium surfactant.

6. Use of glyphosate or a derivative thereof according to any of Claims 1-5 to increase the yield of beet, oil seed rape, cotton or corn crops, made tolerant to glyphosate  
5 herbicide.

7. Use of glyphosate or a derivative thereof according to Claim 6 to increase the yield and/or quality of a sugar or fodder beet crop.

8. Use of glyphosate or a derivative thereof according to any of Claims 1-6 wherein the crop contains a gene which  
10 encodes EPSPS polypeptide.

9. Use of glyphosate or derivatives thereof according to any of Claims 1-6 wherein the crop contains a gene encoding a glyphosate oxidoreductase enzyme.

15 10. Use of glyphosate or derivatives thereof according to any of Claims 1-6 wherein the plant contains a gene encoding class II EPSPS enzymes.



## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/EP 97/01443

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A01N57/20

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 3 853 530 A (J.E. FRANZ) 10 December 1974 cited in the application see claims see column 3, line 27 - line 36 see column 16, line 58 - column 17, line 8 ---	1-10
X	US 3 988 142 A (J.E. FRANTZ) 26 October 1976 cited in the application see claims see column 3, line 7 - line 20 ---	1-10
X	EP 0 481 407 A (HOECHST) 22 April 1992 cited in the application see claims ---	1,3-10
	-/--	

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- \*&\* document member of the same patent family

Date of the actual completion of the international search

15 July 1997

Date of mailing of the international search report

31 -07- 1997

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. ( - 31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: ( - 31-70) 340-3016

Authorized officer

Decorte, D

# INTERNATIONAL SEARCH REPORT

International Application No.  
PCT/EP 97/01443

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	CHEMICAL ABSTRACTS, vol. 124, no. 8, 1996 Columbus, Ohio, US; abstract no. 79375m, B.H. WELLS: "Development of glyphosate tolerant crops into the market." XP002013733 cited in the application see abstract & WEEDS, vol. 3, 1995, pages 787-790, ---	1-10
A	EP 0 441 764 A (MONSANTO EUROPE) 14 August 1991 cited in the application see claims ---	5
A	DE 43 27 056 A (HOECHST) 16 February 1995 & WO 95 05082 A cited in the application -----	

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No.

PCT/EP 97/01443

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 3853530 A	10-12-74	AR 193066 A	30-03-73
		AU 432770 B	08-03-73
		AU 3986072 A	08-03-73
		BE 780444 A	11-09-72
		BG 26653 A	15-05-79
		CA 964075 A	11-03-75
		CH 559505 A	14-03-75
		CS 180578 B	31-01-78
		CY 838 A	10-09-76
		DE 2211489 A	14-09-72
		EG 10505 A	31-08-76
		FR 2128777 A	20-10-72
		GB 1366591 A	11-09-74
		KE 2600 A	27-02-76
		NL 7203053 A	12-09-72
		US 3988142 A	26-10-76
		AT 314570 A,B	15-03-74
		AT 312356 A,B	15-11-73
		AU 445376 B	21-02-74
		AU 3494471 A	03-05-73
		BE 774349 A	24-04-72
		BG 18585 A	25-02-75
		CA 936865 A	13-11-73
		CH 571306 A	15-01-76
		CY 837 A	10-09-76
		DE 2166573 A	03-10-74
		DE 2167051 B	08-03-79
		DE 2152826 A	21-09-72
		FR 2129327 A	27-10-72
		GB 1366379 A	11-09-74
		HR 930267 B	30-04-97
		KE 2599 A	27-02-76
		NL 7114487 A,B	12-09-72
		OA 3838 A	24-12-71
		SE 400695 B	10-04-78
		SE 399267 B	06-02-78
		SE 400158 B	20-03-78
		SU 963446 A	30-09-82
		US 4840659 A	20-06-89
		ZA 7107060 A	26-07-72

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 97/01443

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 3853530 A		ZA 7201605 A	29-11-72
US 3988142 A	26-10-76	US 3853530 A	10-12-74
		US 4025332 A	24-05-77
		AR 193066 A	30-03-73
		AU 432770 B	08-03-73
		AU 3986072 A	08-03-73
		BE 780444 A	11-09-72
		BG 26653 A	15-05-79
		CA 964075 A	11-03-75
		CH 559505 A	14-03-75
		CS 180578 B	31-01-78
		CY 838 A	10-09-76
		DE 2211489 A	14-09-72
		EG 10505 A	31-08-76
		FR 2128777 A	20-10-72
		GB 1366591 A	11-09-74
		KE 2600 A	27-02-76
		NL 7203053 A	12-09-72
EP 481407 A	22-04-92	NONE	
EP 441764 A	14-08-91	AT 119741 T	15-04-95
		AU 632900 B	14-01-93
		AU 7020191 A	08-08-91
		CA 2035659 A	06-08-91
		DE 69108070 D	20-04-95
		DE 69108070 T	14-09-95
		ES 2071285 T	16-06-95
		IE 67356 B	20-03-96
		JP 4211002 A	03-08-92
		US 5464807 A	07-11-95
DE 4327056 A	16-02-95	AU 7497994 A	14-03-95
		BR 9407237 A	24-09-96
		CA 2169282 A	23-02-95
		CN 1128938 A	14-08-96
		CZ 9600412 A	15-05-96
		WO 9505082 A	23-02-95
		EP 0714237 A	05-06-96

# INTERNATIONAL SEARCH REPORT

information on patent family members

International Application No

PCT/EP 97/01443

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
DE 4327056 A		HU 74593 A	28-01-97
		JP 9501179 T	04-02-97
		PL 312982 A	27-05-96
		ZA 9406038 A	20-03-95
-----			

THIS PAGE BLANK (usPTO)